1	Spatial Variability of SEBAL Estimated Root Zone Soil Moisture Across Scales
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Abstract

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17 This study investigated the spatial scaling behavior of root zone soil moisture obtained 18 from optical/thermal remote sensing observations. The data for this study were obtained 19 from Landsat and Moderate Resolution Imaging Spectroradiometer (MODIS) satellites 20 on five different dates between early spring (April) and fall (September) in the years 21 from 2000 to 2004 in the semi-arid middle Rio Grande Valley of New Mexico. Soil 22 moisture data were obtained using the Surface Energy Balance Algorithm for Land 23 (SEBAL) algorithm. The data were spatially aggregated and checked for power law 24 behavior over a range of scales from 30 m to 15 km for Landsat and from 1 km to 28 25 km for MODIS images. Results of this study demonstrate that power law scaling of soil 26 moisture in the middle Rio Grande area holds up to 1 km<sup>2</sup> pixel size, but is no longer 27 valid beyond that scale. While previous studies have studied soil moisture in the top 5 28 cm of the soil using radar and point measurements, our study uses SEBAL to estimate 29 root-zone soil moisture. Our study is consistent with these previous studies in showing 30 that variation in root-zone soil follows an empirical power law for pixel sizes of up to about  $10^6 \text{ m}^2$  and that there is an apparent break in the scaling at larger scales. 31

### 32 1. Introduction

33

34 Information on the spatio-temporal distribution of soil moisture on regional scales can 35 improve the quality of predictions by hydrological, meteorological and general 36 circulation models, including processes such as evapotranspiration and runoff, 37 precipitation, and atmospheric variability (Houser et al. 1998; van de Hurk et al. 1997; 38 Entekhabi, Nakamura, and Njoku 1994; Hendrickx et al. 2016). However, soil moisture 39 observations of larger regions are extremely difficult because soils vary from location to 40 location as a result of soil forming processes that depend on geological parent material, 41 topography, climate, plant and animal life, and time (Engle et al. 2010; Engle et al. 42 2014). As a result soil moisture exhibits a large spatial and temporal variability that has 43 been documented in many studies. Since direct measurements on the ground are too 44 expensive and time consuming for application on a watershed scale, only data from 45 remote sensing can provide a cost-effective solution (Hendrickx et al. 2006; Ahmad and 46 Bastiaanssen 2003; Rahimzadeh-Bajgiran et al. 2013; Bezerra et al. 2013; Fleming, 47 Hendrickx, and Hong 2005). In this study, a remote sensing algorithm, Surface Energy 48 Balance Algorithm for Land (SEBAL), was selected to estimate averaged soil moisture 49 in the entire root zone. The root zone of most vegetation ranges from zero to about 500 50 cm (Canadell et al. 1996; Schenk and Jackson 2002) and soil water in the root zone 51 supports transpiration via root extraction.

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Few papers have examined sub-pixel variability in semi-arid regions and the effect of
different up-scaling schemes on the relative accuracy of the aggregated data despite
their practical importance; therefore, there is a need for more studies on the spatial

57 environmental variables over different scales have dealt with ground measurements and 58 remotely sensed radar observations of soil moisture in the top 0-5 cm of soils (Jackson, 59 Schumgge, and Engman 1997; Jackson and O'Neill 1986). Rodriguez-Iturbe et al. (1995) 60 analyzed Electronically Scanned Thinned Array Radiometer (ESTAR) microwave data 61 and point measurements of soil moisture from the Washita '92 experiment. The variance of soil moisture was plotted on a log-log plot versus the pixel size for a range 62 of pixel sizes from  $10^3 \text{ m}^2$  (30 m by 30 m) to  $10^8 \text{ m}^2$  (10 km by 10 km). They found that 63 64 the variance of the soil moisture followed an empirical power law

organization of soil moisture. The most complete studies on spatial correlation of

65

56

$$\sigma_s^2 = c \, A^\alpha \tag{1}$$

66

or

67 
$$\log_{10} \sigma_s^2 = c + \alpha \log_{10} A$$
 (2)

where S is the relative degree of soil saturation (0-1),  $\sigma_s^2$  is the variance of S, c is a 68 constant, A is the pixel area and  $\alpha$  is an exponent. They also confirmed that, as theory 69 70 dictates, the spatial correlation fell off at larger distances according to a power law. Kim 71 and Barros (2002) analyzed the ESTAR radiometer data from the Southern Great Plains 72 (SGP) 1997 experiment. This dataset covered a much larger region than the earlier 73 Washita '92 data set. They found that there was a break in the scaling of the soil moisture variance in this data set at a pixel size of about  $10^8 \text{ m}^2$ . For scales above and 74 75 below this break, the variance followed a power law, but the exponents were different in 76 the two scale ranges. Another analysis of the SGP '97 data using semi-variograms also 77 found that there were two different scales of spatial correlation, with a break at roughly 30 km or almost  $10^9 \text{ m}^2$  (Ryu and Famiglietti 2006). 78

80 The main goal of this study is to explore the spatial organization of soil moisture fields 81 in a semi-arid environment, and especially to examine the power law behavior of the 82 root zone soil moisture maps generated by the remote sensing algorithm SEBAL. This 83 study is novel since previous multi-scaling studies on soil moisture dealt with soil 84 moisture in the top 0-5 cm of the soil (Jackson, Schumgge, and Engman 1997; Jackson 85 and O'Neill 1986) while our study deals with root zone soil moisture. Root zone soil 86 moisture rather than surface soil moisture is often the critical parameter for weather 87 prediction and global circulation models since it determines the partition of available 88 energy at the earth's surface between latent and sensible heat fluxes (e.g. Akuraju et al. 89 2013; Seneviratne et al. 2010).

- 90
- 91 **2.** Methods and Materials

## 92 **2.1** Study area

93 The study area covers an entire Landsat image (path 38, row 36) in semi-arid central 94 New Mexico. It includes the middle Rio Grande Valley and the Estancia Basin as well as the Manzano and Sandia Mountain ranges (Figure 1). The area can generally be 95 96 characterized by a narrow strip of about 2 km to 10 km of riparian and irrigated 97 agricultural land on either side of the Rio Grande, mountain ranges with a width varying 98 from about 5 km to 20 km, and highland deserts and rangelands covering the remaining area. The average annual air temperature is 15 °C. Daily summer temperatures range 99 100 from 20 to 40 °C, while daily winter temperatures range from -12 to 10 °C. Mean 101 annual precipitation is about 25 cm with more than half of the rainfall being monsoonal 102 in summer and mean annual potential ET being approximately 150 cm.

103

## 104 2.2 Satellite Images

105 In this study, root zone soil moisture in degree of saturation at the time of satellite pass-106 over was estimated from five Landsat (30 m  $\times$  30 m resolution) and one Moderate 107 Resolution Imaging Spectroradiometer (MODIS) (1000 m  $\times$  1000 m) image during 108 2000 to 2004 (Figure 1 and Table 1). For the date of 16 June 2002 both Landsat and 109 MODIS images are available; therefore we estimated soil moisture also from the 110 MODIS image to compare it and its scaling behavior to the Landsat estimates. The path 111 and row of all the Landsat images used in this study are 33 and 36. Since the MODIS 112 image covers a much bigger area (swath: 2,330 km), we took a subset of the MODIS 113 image covering the same area as the Landsat image (Figure 1). 114 115 2.3 Surface Energy Balance Algorithm for Land (SEBAL) 116 SEBAL and its descendant METRIC (Mapping EvapoTranspiration at high Resolution 117 with Internalized Calibration) are remote sensing flux algorithms that compute the 118 surface energy balance on an instantaneous time scale for every pixel of a satellite 119 image (Allen, Tasumi, and Trezza 2007; Bastiaanssen et al. 2005; Hendrickx and Hong 120 2005a). SEBAL and METRIC have demonstrated a high accuracy for evaporation 121 mapping worldwide with typical accuracies of about  $\pm 15\%$  and  $\pm 5\%$  for, respectively, 122 daily and seasonal evaporation estimates (Bastiaanssen et al. 2005; Hendrickx and Hong 123 2005a; Allen et al. 2007; Karimi and Bastiaanssen 2015; Hong 2008). The most

- 124 innovative component of SEBAL and METRIC is their use of a near-surface
- 125 temperature gradient which is indexed to satellite radiometric surface temperature. Thus,
- 126 there is no need for absolute surface temperature calibration and air temperature
- 127 measurements at each pixel for estimating the sensible heat flux at the surface. Both

128 models require an internal calibration for each image using inverse modelling at 129 extreme conditions such as a "hot" or "dry" and "cold" or "wet" pixel to derive 130 estimations of the sensible heat flux and to counteract systematic biases in net radiation, 131 soil heat flux, radiometric temperatures and aerodynamic estimates (Allen, Tasumi, and 132 Trezza 2006). METRIC differs from SEBAL in its use of high quality hourly weather 133 data for calculation of the reference evapotranspiration that is used for evaluation of 134 energy balance conditions at "cold" pixels where most incoming energy is used for 135 evapotranspiration (Allen et al. 2011). For lack of high quality meteorological data we 136 used SEBAL for this study; its use is justified because SEBAL estimates of 137 evapotranspiration for the environmental conditions in the Middle Rio Grande Valley 138 compared well with eddy covariance latent heat measurements (Hong 2008; Hendrickx 139 and Hong 2005b). Detailed descriptions of SEBAL have been presented in the literature 140 (Bastiaanssen et al. 1998; Allen, Tasumi, and Trezza 2007; Allen et al. 2011; Hong 141 2008).

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143 SEBAL and METRIC are operational models for evapotranspiration mapping at high 144 spatial resolution in areas covering a few hundred km in scale and are quite different 145 from some more generally based remote sensing models for routine applications at the 146 subcontinent scale such as the Atmosphere-Land-Exchange Inverse (ALEXI) (Anderson 147 et al. 2007; Anderson et al. 2004). However, due to the required internal calibration of 148 SEBAL and METRIC their implementation cannot be accomplished without trained experts with strong backgrounds in energy balance and radiation physics and familiarity 149 150 with vegetation characteristics and agricultural practices (Allen et al. 2011). Under ideal 151 conditions with full vegetation cover in irrigated areas without antecedent precipitation

152	the internal calibration is clear-cut. Those were the conditions encountered in this study
153	so that the SEBAL implementations by the senior author were straightforward. But
154	challenging conditions do occur and require a more creative internal calibration analysis
155	or sometimes may prohibit the use of SEBAL and METRIC altogether. For example,
156	several investigators (French et al. 2005a, 2005b; Long and Singh 2013; Tang et al.
157	2013; Timmermans et al. 2007; Long, Singh, and Li 2011) discuss how SEBAL and
158	METRIC cannot be used when no wet and/or dry pixel exists in the image under
159	consideration. However, co-author Hendrickx after analysis of hundreds of images in
160	hot arid (e.g. Hendrickx et al. 2011; Hendrickx, Hearer, et al. 2005; Hendrickx and
161	Hong 2005b; Compaoré et al. 2008) as well as humid tropical and temperate regions
162	(e.g. Hendrickx, Bastiaanssen, et al. 2005; Wohl et al. 2012; Hendrickx et al. 2016)
163	almost never encountered an image without a wet and/or dry pixel. Under arid
164	conditions Landsat images have occasionally been encountered without any wet pixel
165	but -even then- extension of the analysis to include the image above or below the
166	image of interest in the same Landsat path will typically yield a reasonable extreme wet
167	condition. Under humid conditions the main issue is cloudiness (e.g. Ju and Roy 2008;
168	Sano et al. 2007) not finding a reasonable dry condition. Even where antecedent
169	precipitation drives up the evaporation rate of the dry pixel, one often can estimate its
170	sensible heat flux by using a simple soil water balance model (e.g. Allen 2011; Allen et
171	al. 1998) and proceed with the internal calibration (Allen et al. 2011).
172	
173	A more challenging issue is how pixel size affects the SEBAL estimated
174	evapotranspiration rates (e.g. Tang et al. 2013). Finding a representative homogeneous

175 wet or dry Landsat pixel of 30 m  $\times$  30 m in the reflectance bands or 60  $\times$  60, 100  $\times$  100

176	or 120 m $\times$ 12	20 m in, res	pectively, th	e thermal	band of	Landsat 7	', 8 or	5 is
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177 straightforward under many environmental conditions, especially where relatively large

178 irrigated fields are located in arid regions. However, finding a representative wet or dry

- 179 pixel on a MODIS image with 1000 m  $\times$  1000 m pixels in the thermal band is quite
- 180 challenging. Trezza, Allen, and Tasumi (2013) recommend using a cross-calibration
- 181 between MODIS and Landsat applications of METRIC and successfully demonstrate
- 182 their method using imagery of the Middle Rio Grande Valley. We have compared in-
- 183 depth the outcome of SEBAL applications on 16 June 2002 on a Landsat and MODIS
- 184 image and found good agreement between their SEBAL estimated ET maps (Hong,
- 185 Hendrickx, and Borchers 2009, 2011). Therefore, we are confident that the 16 June
- 186 2002 soil moisture images of Landsat and MODIS have the quality needed for this study.
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# 188 **2.4 Evaporative Fraction Method for Root zone Soil Moisture Retrieval**

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190 The evaporative fraction (*A*) is defined as (Brutsaert and Sugita 1992; Crago 1996):

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$$\Lambda = \frac{L}{L+H} \approx \frac{(\text{ET})_{a}}{(\text{ET})_{p}}$$
(3)

and can be calculated for each pixel using the output of SEBAL. Where L is the latent heat flux, H is the sensible heat flux,  $(ET)_a$  is the actual evapotranspiration and  $(ET)_p$  is the potential evapotranspiration. The relative degree of saturation in the root zone can be defined as the ratio of actual plant available water (W) over the soil water holding capacity (SWHC) or the ratio between the actual ( $\theta$ ) and saturated ( $\theta_s$ ) volumetric soil water contents in the root zone

$$S = \frac{W}{(\text{SWHC})} \approx \frac{\theta}{\theta_{\text{S}}} = f\left(\frac{L}{L+H}\right) = f(\Lambda).$$
(4)

199 The Evaporative Fraction Method for root zone soil moisture is based on the long-200 known soil physical relationship between the relative degree of saturation in the root 201 zone (S) and the evaporative fraction (A) that quantifies the partitioning of sensible (H) 202 and latent heat (L) fluxes at the land surface (e.g. Davies and Allen 1973; De Bruin 203 1983; Kustas and Norman 1999; Owe and van de Griend 1990; Budyko 1956; Manabe 204 1969; Thornthwaite 1948; Boni, Entekhabi, and Castelli 2001). Eq. [4] is also called the 205 water stress function and can be either derived from physical principles (e.g. Campbell 206 and Norman 1998) or modelled empirically using latent heat flux and soil moisture 207 measurements (e.g. Scott, Bastiaanssen, and Ahmad 2003; Stewart and Verma 1992). 208 Many different forms have been used to capture the stress function, ranging from linear 209 (e.g. Mahfouf and Noilhan 1991), piecewise linear or threshold models (e.g. Deardorff 210 1977; Manabe 1969), to non-linear models (Anderson et al. 2007; Campbell and 211 Norman 1998; Scott, Bastiaanssen, and Ahmad 2003). In addition, pixel size and sub-212 pixel soil moisture variability may also affect the optimal form (Chen et al. 1996). 213 However, despite these differences the functions all look very similar (Figure 2). The 214 non-linear function by Anderson et al. (2007) does not allow for much change in ET 215 until the available water fraction becomes 0.6 while the one by Scott, Bastiaanssen, and 216 Ahmad (2003) produces a steeper decrease of ET when the fraction falls below 0.2. In 217 addition, these non-linear functions often require detailed soil information such as field 218 capacity and wilting point that is not readily available. When soil information is 219 uncertain some authors prefer linear forms for large scale applications so that 220 evapotranspiration has a constant sensitivity to soil moisture conditions in the root zone 221 (Betts et al. 1997; Song et al. 2000). Although the different expressions of Eq. [4] 222 shown in Figure 2 appear to be empirical functions, they are based in fact on sound

science and have been successfully used for over sixty years by hydrologists and
climatologists. The maximum difference between the three functions in Figure 2 is

about 20% at the high and low ends of the evaporative fraction. Even though the

absolute values derived for the relative degree of saturation in the root zone depend on

the specific function used, because these functions are monotone increasing, the ranking
of relative degrees from wet to dry will be similar and does not depend on the function
used.

230

In this study we use an expression of Eq. [4] that is based on *in situ* root zone soil
moisture measurements and validated evaporative fraction data from SEBAL (Ahmad
and Bastiaanssen, 2003)

234 
$$S = e^{\frac{\Lambda - 1}{0.42}} \cong \frac{W}{W_{\text{max}}}$$
(5)

where *S* is degree of saturation, *W* is the actual plant available water and  $W_{\text{max}}$  is the maximum plant available water. The soil moisture measurements were obtained on grassland in Kansas on alluvial soils and loess (Smith et al. 1992) as well as in rainfed (vineyard, barley, wheat) and irrigated crops (maize, alfalfa) in Central Spain on sandy loams (Bastiaanssen et al. 1997; Bolle et al. 1993).

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Validation and testing of Eq. [5] was conducted using soil moisture data from irrigated fields in Pakistan and Mexico. In Pakistan, volumetric soil water content was measured in alluvial soils with cotton and wheat at four depths with 25 cm intervals to a maximum depth of 100 cm. In Mexico, soil moisture was measured at depth 45 cm in vertisols on irrigated plots of wheat and alfalfa with the majority of active roots in the first 100 cm

246	below the surface. The comparison of Eq. [5] against these data yielded root mean
247	square error of 0.05 cm <sup>3</sup> cm <sup>-3</sup> ; and error was less than 0.07 cm <sup>3</sup> cm <sup>-3</sup> in 90% of cases
248	(Scott et al., 2003). Based on the good performance of Eq. [5] under a wide range of
249	environmental conditions some researchers have concluded that it is minimally affected
250	by vegetation type or soil type and may have general applicability (Scott et al., 2003).
251	
252	One qualitative validation was conducted in the swamps of the Upper Nile over an area
253	of almost one million square kilometers using NOAA-AVHHR images (Mohamed,
254	Bastiaanssen, and Savenije 2004). Another qualitative validation of Eq. [5] was
255	conducted by co-author Hendrickx and his students (Fleming, Hendrickx, and Hong
256	2005). A SEBAL soil moisture map with a spatial resolution of 30 m $\times$ 30 m was
257	generated from a Landsat 7 image of the Middle Rio Grande Basin for 16 June 2002.
258	Soil moisture values were plotted along three transects perpendicular to the Rio Grande.
259	Approximate land use and ground conditions were determined from the Landsat image
260	and field observations in March 2005. The observed conditions in the field confirmed
261	quite well the soil moisture conditions obtained from the satellite image. The high
262	resolution of the approach was demonstrated in the sharp changes observed in
263	association with roads, buildings, and vegetation changes along these heterogeneous
264	transects (Fleming, Hendrickx, and Hong 2005).
265	
266	The innovative elements of the evaporative fraction method are: (1) the derivation of
267	soil moisture contents that are depth-averaged through the root zone of standing
268	vegetation and with high spatial resolution (30 m $\times$ 30 m for Landsat to 250 m $\times$ 250 m

to 1000 m  $\times$  1000 m MODIS) and broad coverage (for example, approximately 24,000

270 km<sup>2</sup> for a Landsat image); (2) multi-temporal coverage over vegetative or crop growth 271 cycles; (3) the use of routinely available satellite images at no cost in the USA; and (4) 272 relatively low costs of data processing. The method does have some interesting 273 behavioral characteristics. The moisture that is evaporated and transpired originates in 274 most cases throughout the entire vegetative root zone, typically one meter for many 275 fully established irrigated crops (Allen et al., 1998b). However, the actual root zone 276 depth at the time of retrieval will depend on the stage of vegetative or crop development 277 and could be less than one meter. Mature forest systems may root much deeper and have 278 rooting depths of several meters. Because there is no vegetation index specified as a 279 baseline for  $\Lambda$ , the  $\theta/\theta_s$  predicted from Eq. [5] tends to increase with increase in 280 vegetation mass and cover. Thus, as the vegetation index tends towards zero,  $\theta/\theta_s$  tends 281 to represent the depth of the evaporative layer of soil (0-10 or 15 cm), which serves as 282 the source depth for direct evaporation. As the vegetation index tends towards values 283 representing full vegetation and soil cover,  $\theta/\theta_s$  tends toward representing the full depth 284 of the effective rooting zone. Therefore, this method is optimal for the investigation of 285 the available water storage in soils. It stands clearly apart from active and passive radar 286 satellites that measure soil moisture to approximately constant penetration depths of a 287 few cm (e.g. Miller, Hendrickx, and Borchers 2004; Wickel, Jackson, and Wood 2001; 288 Hendrickx, Rabus, et al. 2009; Romero-Suarez 2010; McNairn, Pultz, and Boisvert 289 2002; Jackson 2002). A limitation of soil moisture retrievals, using the evaporative 290 fraction as derived from SEBAL or METRIC using optical and thermal imagery, is that 291 the method can only be employed on clear days without clouds while radar systems 292 operate under all weather conditions. However, soil surface evaporation process models 293 or distributed hydrologic models (Downer and Ogden 2003; Downer and Ogden 2004;

294	Hendrickx, Pradhan, et al. 2009; Daniel B. Stephens & Associates 2010) can be
295	operated in between satellite overpass dates to create continuous soil water records that

296 are calibrated and targeted to the satellite retrievals (Hendrickx et al. 2016).

297

- 298 3. **Data description and procedure**
- 299

300 Once soil moisture maps were generated from SEBAL, 30 m  $\times$  30 m resolution of 301 Landsat- and 1000 m  $\times$  1000 m of MODIS- based soil moisture data were aggregated to 302 15,000 m and 28,000 m resolutions, respectively. Aggregation imagery was obtained by 303 calculating the arithmetic mean over an  $n \times n$  window. If any pixel in an  $n \times n$  window 304 was outside of the data set, the entire window was excluded. For the semi-variogram 305 model, computing experimental semi-variograms using every pixel at the 30 m  $\times$  30 m 306 scale proved infeasible, since the images used in this study were very large; instead, a 307 random sampling strategy was used. Ten 2,500 pixel samples were randomly selected 308 from the soil moisture image and experimental semi-variograms were calculated for 309 each sample. These ten experimental semi-variograms were then averaged together. 310

#### 311 Spatial distribution and scaling behavior of root zone soil moisture 4.

312

313 Figure 1 presents the soil moisture map of the Landsat 5 image on 16 June 2002 as an 314 example produced by SEBAL. Higher soil moisture is observed in the riparian areas and mountain forests while low to very low soil moisture occurs in the surrounding deserts. 315 316 The city of Albuquerque has somewhat higher soil moisture than the surrounding desert 317 areas due to grass and trees in the urban environment.

319	Table 1 shows temporal changes in soil moisture; it increases from 7 April (mean: 0.11),
320	just after the start of the growing season, to 31 July (mean: 0.21) at the height of the
321	growing as well as monsoon season. Then a decrease of soil moisture is observed on 14
322	September (mean: 0.09) after the monsoon. Higher standard deviations are found in the
323	images of June and July; that is due to the fact that the difference in soil moisture
324	between riparian and mountain (high soil moisture) and desert and bare soils (low soil
325	moisture) is greater during the summer monsoon growing season. The mean value of the
326	soil moisture on 7 April (0.11) is greater than that of 9 May (0.06) (Table 1). This
327	reflects some soil moisture accumulation during the winter period before 7 April when
328	vegetation is dormant as well as the lack of precipitation between 1 April and 9 May
329	when the vegetation starts transpiring at the start of the growing season.

330

331 For the 16 June image, the disparate spatial resolutions of Landsat- and MODIS-based 332 soil moisture images result in differences in soil moisture distribution (Figure 1). Many 333 small areas (length scale on the order of 10 to 100 m) with high soil moisture along the 334 river are captured well in the Landsat-based soil moisture map with a spatial resolution 335 of 30 m; however, these peak soil moistures are averaged out in the MODIS-derived 336 soil moisture map with a spatial resolution of 1000 m. Besides the difference in the 337 spatial resolution, a difference in radiance measurements between the Landsat and 338 MODIS and different satellite overpass times (the Landsat overpass time was 10:30 am 339 Mountain Standard Time (MST) while the MODIS time was 11:00 am MST) also 340 results in slightly different soil moisture estimates (Figure 1 and Table 1). However, the 341 overall spatial distribution of soil moisture maps and basic statistics reveal that the

342 SEBAL algorithm produced very similar soil moisture estimates from either Landsat or343 MODIS imagery.

344

345 In order to examine the power law behavior of soil moisture maps, soil moisture 346 variances for different pixel sizes were calculated (Figure 3). In all cases except 7 April, 347 log variances decrease and display a fairly linear trend until the pixel size reaches about 348  $10^6 \text{ m}^2$ , approximately a 1 km length scale. Furthermore, although the intercept varies 349 with the overall moisture, the slope of each curve (the exponent alpha in the power law) is similar. Some of the values of variance after  $10^8 \text{ m}^2$  pixel size waggle because there 350 351 are few data points. Also, the log variances of the April image linearly decrease until  $10^8 \text{ m}^2$  and the slope is steeper after  $10^8 \text{ m}^2$  pixel size. This is similar to the results of 352 353 Ryu and Famiglietti (2006) who also reported a non-linear trend in their data. They 354 concluded that smaller scale correlation (10 km to 30 km pixel length) is caused by land 355 surface features like vegetation and soil texture, while larger scale correlation (60 km to 356 100 km) is caused by regional precipitation. Changes in variances with pixel size 357 between Landsat and MODIS-based soil moisture on 16 June 2002, follow a similar 358 trend.

359

Also note that the log variances of each starting point (30 m  $\times$  30 m pixel size) for all five dates are different from each other but the slope of the variances with pixel size are all similar except for the April imagery. The 7 April image is the only image prior to the growing season, when vegetation is not transpiring and thus the soil moisture conditions of the top 10 to 20 cm are captured by the SEBAL estimated root zone soil moisture algorithms. Therefore, the effect of vegetation is minimal in this image while the effect

366 of precipitation on spatial variability of soil moisture dominates. This observation is

367 supported by the larger correlation length in the semi-variogram of 7 April (Figure 4)

and is similar to the result of Rye and Famiglietti (2006) discussed above.

369

370 In order to examine the anisotropic variance, semi-variograms were calculated in four 371 compass directions; N-S, E-W, NE-SW and NW-SE. There were no significant 372 differences within the four directional experimental semi-variograms, and thus we 373 assume an isotropic semi-variogram. The sampling technique was then repeated to 374 produce an omni-directional experimental semi-variogram (Figure 4). In the semi-375 variograms, sill (gamma) values are dependent upon the variance of the soil moisture 376 maps. In addition to sill, the range of each semi-variogram also changes with the soil 377 moisture conditions. Soil moisture maps during the summer have higher mean and 378 standard deviation and have ranges around 30 km, but low soil moisture conditions 379 (May and September) have ranges around 10 km. Smaller ranges during a low soil 380 moisture condition are revealed from the spatial distribution of soil moisture; high soil 381 moisture is found only along the narrow strip of Rio Grande riparian areas and in 382 mountain forests with lateral scales up to about 10 km while the surrounding areas are 383 very dry. Although the sill of the Landsat semi-variogram on 16 June 2002 is slightly 384 higher than MODIS semi-variogram, both semi-variograms have a similar shape. This is 385 another validation that the SEBAL algorithm produces very similar soil moisture 386 estimates from Landsat and MODIS images. The lower sill of the MODIS semi-387 variogram is expected since MODIS pixels are about one order of magnitude larger than 388 Landsat pixels which will temper extreme soil moisture values.

389

**390 5. Conclusions** 

391

392 The main goal of this study was to examine the power law behavior of SEBAL 393 estimated root zone soil moisture from Landsat and MODIS imagery. In most cases, the 394 slope of changes in variances with pixel size are similar, and power law scaling of soil 395 moisture holds up to  $10^6 \text{ m}^2$  pixel size; a power law fit is no longer valid beyond that 396 scale. Semi-variograms show an isotropic correlation structure and their sill and range 397 are dependent upon the root zone soil moisture conditions. Results of this study also 398 verify that the SEBAL algorithm produces very similar soil moisture maps from 399 Landsat and MODIS images. 400 401 Previous studies have studied soil moisture in the top 5 cm of the soil using radar and 402 point measurements while our study uses SEBAL/METRIC to estimate root-zone soil 403 moisture. Our study is consistent with previous studies in showing that variation in 404 root-zone soil follows an empirical power law for pixel sizes of up to about  $10^6 \text{ m}^2$  and 405 that there is an apparent break in the scaling at larger scales. 406 407 Acknowledgements 408 409 This study was funded by the Army Research Office, STIR grant: W911NF-09-1-0006. 410

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Table 1. Data used in this study. Rainfall data were obtained from the New Mexico
Climate Center (<u>http://weather.nmsu.edu/data/data.htm</u>) and mean and standard
deviation (STD) are estimated from Landsat (30 m x 30 m) and MODIS (1000 m x 1000
m) images.

Date	Satellite	Time since rain (days)			Root-zone soil moisture (unitless)	
		ACD <sup>1</sup>	BSE <sup>2</sup>	ATA <sup>3</sup>	Mean	SD*
7 April 2000	Landsat7	6	16	6	0.11	0.10
9 May 2000	Landsat7	30+	30+	30+	0.06	0.09
16 June 2002	Landsat7	1	20	3	0.15	0.13
16 June 2002	MODIS	1	20	3	0.15	0.11
31 July 2004	Landsat5	3	5	4	0.21	0.15
14 September 2000	Landsat7	5	16	14	0.09	0.10

<sup>1</sup>Alcalde ASC weather station, <sup>2</sup>Bosque RAWS weather station, <sup>3</sup>Artesia ASC weather
 station, \*standard deviation









soil moisture is given as an unitless percentage, the semivariance ( $\gamma$ ) is in units of  $\%^2$ .